

Risk Management Committee
Activities from January 1 – December 31, 2021

COMMITTEE/ DATE OF MEETING	ACTIONS TAKEN
<p>Risk Management Committee</p> <p>22-Jan-21</p>	<p>Confirmed</p> <ul style="list-style-type: none"> Minutes of Meeting of Risk Management Committee held on 20 October 2020 2021 Industry Assessment <p>Endorsed to the Board</p> <ul style="list-style-type: none"> Office Circular on Use of Operational Risk Management Tool of LBP <p>Approved</p> <ul style="list-style-type: none"> 2020 Risk Management Committee Accomplishment and Risk Management Unit (RMU) 2021 Plans and Program of Risk Management Committee and RMU 2020 OPCR and IPCR of Risk Management Officer (RMO) and RMU 2021 OPCR and IPCR of RMO and RMU Office Circular on Use of Operational Risk Management Tools of LBP <p>Noted</p> <ul style="list-style-type: none"> Functions of Risk Management Unit and Risk Management Committee RIA of Employees affected by Typhoon Rolly RIA of Employees affected by Typhoon Ulysses RIA of Clients affected by Typhoon Quinta, Rolly and Siony RIA of Clients affected by Typhoon Ulysses Evaluation of CRES Scoring Facilities Semi-Annual Performance Report of CARES Program as of September 2020 2020 RAR & RTR ERM Action Plan Monitoring Report as of November and December 2020 Quarterly Monitoring of ERM – Risk Assessment Criteria Matrix as of November 2020 Accounts endorsed to RAMU
<p>14-May-21</p>	<p>Confirmed</p> <ul style="list-style-type: none"> Minutes of Meeting of Risk Management Committee held on 22 January 2021 Revised Risk Management Manual

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	<p>Endorsed to the Board</p> <ul style="list-style-type: none"> • Data Privacy Guidelines <p>Approved</p> <ul style="list-style-type: none"> • Risk and Control Assessment Map (RCAM) <p>Noted</p> <ul style="list-style-type: none"> • Repricing Gap • Liquidity Stress Testing • Report on Sources and Uses of Funds • Report on Results of Impairment Testing • Semi-Annual Performance Report of CARES Program as of February 2021 • Credit Concentration Risk and Large Exposure Report as of March 2021 • Risk Event Monitoring (REM) Report for the 4th Quarter 2020 • REM Report for the 1st Quarter 2021 • 2021 RCSA • ERM Action Plan Monitoring Report as of April 2021 • ERM Q1 2021 RACM Monitoring • Status of 2021 Plans and Program of RMU as of 1st Quarter 2021
09 July-21	<p>Confirmed</p> <ul style="list-style-type: none"> • Minutes of meeting of Risk Management Committee held on 14 May 2021 <p>Approved</p> <ul style="list-style-type: none"> • Agenda, inclusion of Board Level Committee PRS and Status of Risk Management Officer <p>Noted</p> <ul style="list-style-type: none"> • Result of Validation of Annual Term Loan Review • Report on Fire Preventive Maintenance Service (PMS) • Board Level Committee Performance Rating • Status of the Risk Management Officer
20 August-21	<p>Confirmed</p> <ul style="list-style-type: none"> • Minutes of Meeting of Special Risk Management Committee held on 09 July 2021 <p>Endorsed to the Board</p> <ul style="list-style-type: none"> • Enhanced/Revised Business Continuity Management Framework and General Policy (BCM) • Enhanced/Revised Business Continuity Plan (BCP) <p>Approved</p> <ul style="list-style-type: none"> • Liquidity Gap Report January 1 to June 2021 • Status of Plans and Programs of Risk Management Office as of June 2021 • 2021 Business Impact Analysis • 2021 Business Continuity Risk Assessment Plan

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	<p>Noted</p> <ul style="list-style-type: none"> ERM Action Plan Monitoring as of July 2021 ERM Risk Assessment Criteria Matrix as of 2nd Quarter 2021 Status of 2021 ICAAP Risk Management Initiatives for LBP Subsidiaries as of 1st Semester 2021 Risk Event Monitoring Reports as of 2nd Quarter 2021
24 September-21	<p>Confirmed</p> <ul style="list-style-type: none"> Minutes of meeting of Risk Management Committee held on 20 August 2021 <p>Endorsed to the Board</p> <ul style="list-style-type: none"> Revisions of Treasury Operations Manual <p>Deferred</p> <ul style="list-style-type: none"> Report on Insurance Coverage fao Shogun Ships Co., Inc
19 November-21	<p>Confirmed</p> <ul style="list-style-type: none"> Minutes of Meeting of Special Risk Management Committee held on 24 September 2021 <p>Endorsed to the Board</p> <ul style="list-style-type: none"> Periodic Review of the IT Manual Adoption of LBP's Guidelines in the Conduct of Money Laundering/Terrorist Financing/Proliferation Financing (ML/TF/PF) Institutional Risk Assessment (IRA) <p>Noted</p> <ul style="list-style-type: none"> Update on the instruction of Riskcom on the account of Shogun Ships, Co. Updates on Accounts Endorsed to RAMU Updates on Legal Risk Exposures Assessment of Marine Vessels held by the Corporation Report on Common Accounts of LBP and LLFC CARES Program Performance Report as of September 2021 Result of Annual Qualitative Review Risk Event Monitoring (REM) Report for Q3 2021 2021 Information Risk Asset Register / RAR & RTR Status of RM Initiatives as of 3rd Quarter 2021 ERM Action Plan Monitoring as of October 2021 ERM – RACM Monitoring as of 3rd Quarter 2021 Status of 2021 Plans and Programs of Risk Management Unit